

Express Mail No. EL 451 594 658 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Li-Huan Jen

Serial No. 09/578,217

Filed: May 23, 2000

Title: Apparatus and Method for Counting

Error Rates in an Optical Compact Disc

Storage System

Group Art Unit: 2753

Attorney Docket No. 9826-014-999

Date: August 2, 2000

AUG 17 2000
TECH CENTER 2700

REQUEST FOR CORRECTION OF FILING RECEIPT

Assistant Commissioner for Patents Office of Initial Patent Examination Customer Service Center Washington, D.C. 20231

Sir:

Applicants' attorney requests correction of the Filing Receipt for the referenced application. Please change the inventor name from Shawn M Soderberg to Li-Huan Jen. A copy of the marked up Filing Receipt, application transmittal and a copy of the signed Declaration for Patent Application as filed is enclosed.

The Commissioner is hereby authorized to charge any required fee or credit any overpayment to Pennie & Edmonds LLP Deposit Account No. 16-1150 (order no. 9826-014-999). This form is submitted in duplicate for such purpose.

Respectfully submitted,

PENNIE & EDMONDS LLP

By:

William S. Galliani Registration No. 33,885

3300 Hillview Avenue Palo Alto, CA 94304 (650) 493-4935

9826-0014-999

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CA1 - 252158.1



FILING RECEIPT







UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

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Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/578,217 🗸	05/23/2000	2753	690	9826-014-999	7	17	3
William S Galliani Pennie & Edmonds LLF 3300 Hillview Avenue Palo Alto, CA 94304		AUG O	2 2000 33		D	CH CENTER 276 ate Maile	RECEIVEDS

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Shawn M Soderberg, Sunnyvale, CA;

Continuing Data as Claimed by Applicant

Foreign Applications

If Required, Foreign Filing License Granted 07/26/2000

8/23/00-DISCLOSURE
1/23/01-FOR FIL CALLUP
4/23/01-11MB CYX CALLUP
5/23/01-CYX FINAL DATE
11/23/01-CX FOR IST O.A.

PENNIÈ & EDMONDS

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AUG 0 1 2000 WSG 244 CA OFFICE

Title

Apparatus and method for counting error rates in an optical compact disc storage system

Preliminary Class

369

Data entry by : RORIE, DEANNA

Team : OIPE

Date: 07/27/2000





LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15



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PLEASE NOTE the following information about the Filing Receipt:

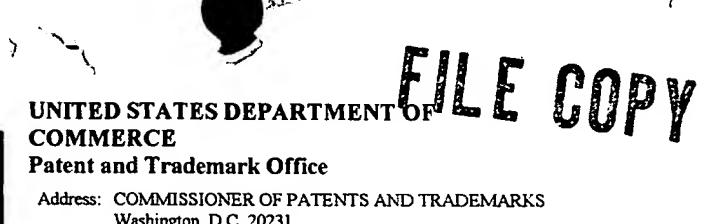
- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the
 title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

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Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231



Bib Data Sheet



Address: COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

SERIAL NUME 09/578,217		FILING DATE 05/23/2000 RULE	С	369	GRO	OUP ART UNIT 2753		ATTORNEY DOCKET NO. 9826-014-999			
APPLICANTS Li-Huan Je	en, Sa	nta Clara, CA ;									
** CONTINUING DATA **********************************											
** FOREIGN AP	** FOREIGN APPLICATIONS ************************************										
IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 07/26/2000 –											
Foreign Priority claim 35 USC 119 (a-d) co met Verified and Acknowledged	nditions —	Allowanse	ter nitials	STATE OR COUNTRY CA	. j	IEETS AWING 7	TOT CLAI 17		INDEPENDENT CLAIMS 3		
ADDRESS William S Galliar Pennie & Edmor 3300 Hillview Av Palo Alto ,CA 94	nds LL enue	P		_					,		
TITLE			_								
Apparatus and method for counting error rates in an optical compact disc storage system											
RECEIVED	FEES No No				☐ All Fees ☐ 1.16 Fees (Filing) ☐ 1.17 Fees (Processing Ext. of time) ☐ 1.18 Fees (Issue) ☐ Other ☐ Credit						





·Pennie & Edmonds llp

COUNSELLORS AT LAW 3300 Hillview Avenue Palo Alto, CA 94304 (650) 493-4935

ATTORNEY DOCKET NO. 9826-014-999

Assistant Commissioner for Patents Box PATENT APPLICATION Washington, D.C. 20231

Date: May 23, 2000



Sir:

The following utility patent application is enclosed for filing:

Applicant(s): Li-Huan Jen Executed on: May 17, 2000

Title of Invention: APPARATUS AND METHOD FOR COUNTING ERROR RATES IN AN OPTICAL C

DISC STORAGE SYSTEM

TECH CENTER >

PATENT APPLICATION FEE VALUE

				·		
TYPE	NO. FILED	LESS	EXTRA	EXTRA RATE	FEE	
Total Claims	17	-20	0	\$18.00 each	\$	0.00
Independent	3	-3	0	\$78.00 each	\$	0.00
ال	Minimum Fee					690.00
		\$\$	0.00			
	Total					690.00
	50% Reduction for Independent Inventor, Nonprofit Organization or Small Business Concern (a verified statement as to the applicant's status is attached)					
		\$	690.00			

Also enclosed:

□ Declaration by the inventor;

Please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150. A copy of this sheet is enclosed.

Respectfully submitted,

33,885

William S. Galliani (Reg. No.)

PENNIE & EDMONDS LLP

This form is not for use with continuation, divisional, re-issue, design or plant patent applications.

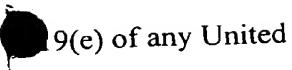
As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

Hairie,							
an origir which is <i>Method</i>	nal, first and claimed and for Counting	foint inventor for which a part of the second secon	r (if plural na patent is soug s in an Optic	mes are listeght on the in	f only one named below) of the vention entitle Disc Storage	le subject mand Apparatus System the	tter <i>and</i>
	[x]	is attached	hereto.			E	AUG 17
	[]	was filed or and was am	n as nended on	Application	n Serial No (if applicable)	ER 2700	AUG 17 2000
	hereby state dentified spece.	that I have re ification, inc	eviewed and a luding the cla	understand t aims, as ame	n Serial No (if applicable) he contents of ended by any a	the mendment re	eferred
	-	-	disclose to the		fice all informa	ation known	to me
any fore identifie	eign application any	on(s) for pate foreign appli	ent or invento	r's certificate tent or inven	35, United State listed below ator's certificate ned:	and have also	O
I	Prior Foreign	Application((s)			Priority C	<u>laimed</u>
-	(Number)	_ 	untry)	(Date	Filed)	Yes []	No []
States and this appoint by the findisclose defined	I hereby clain pplication(s) lication is no irst paragraph to the Patentin 37 C.F.R.	listed below t disclosed in of Title 35, t Office all in 1.56 which o	and, insofar and, insofar and, insofar and the prior Un United States of the states of	is the subjectited States as Code, §112 own to me treen the filing	ates Code, §12 t matter of eac pplication in the 2, I acknowled to be material the g date of the pation:	th of the clair he manner progethe duty to patentability	ns of ovided o ty as
((Application Serial No.)		(Filing Date		(Status) (patented, pending, aband		
	(Application Ser	ial No.)	(Filing Date	e)	(Status)	

(patented, pending, abandoned)

I hereby claim the Lander Title 35, United States Code States provisional application(s) listed below.



(Application Serial No.)

(Filing Date)

Direct all telephone calls to William S. Galliani at (650) 493-4935. Address all correspondence to:

PENNIE & EDMONDS LLP 3300 Hillview Avenue Palo Alto, CA 94304

File No. <u>9826-014-999</u>

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Title 18, United States Code, \$1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor:

Inventor's signature:

Date:

Residence:

Citizenship:

Post Office Address:

Li-Huan Jen

Li-Hua